
Reporting violations of regulations by business partners/suppliers

The SNB has a contemporary and comprehensive set of regulations and a culture of mutual respect and trust. This includes preventing or detecting violations of laws or internal regulations and taking appropriate steps as early as possible. To this end, the SNB has implemented measures, among others a low-threshold reporting system that enables reports on regulation violations to be received via various reporting channels.

The SNB also makes it possible for persons working for business partners as well as suppliers and sub-suppliers or subcontractors, and who come or have come into contact with the SNB in the course of their business activities, to report regulation violations to the SNB. Such violations include breaches of the law and of [internal guidelines and regulations posted on the SNB's website](#) (Code of Conduct, regulations and directives). At issue are violations committed by SNB employees in connection with their professional activities at the SNB or by third parties in the course of their business dealings with the SNB.

Reports are handled - and if necessary investigated - by the Compliance unit. If there is reason to believe that Compliance staff are involved in the reported matter or may otherwise have a conflict of interest, reports are to be submitted to the Chair of the Audit Committee by letter.

In any event, if necessary, the SNB shall take precautions to ensure that it is not possible to infer the identity of the person submitting the report. Exceptions are made if the SNB is required to disclose such information by law or on the basis of an official order. The report may also be submitted anonymously.

The following reporting channels are available (SNB employees have access to internal reporting channels):

- Telephone: +41 58 631 31 31 (Monday–Friday from 8.30 am to 12.00 pm and from 2.00 pm to 5.00 pm)

- Email: regelverstoss@snb.ch
- Letter: Personal/Confidential, Swiss National Bank, Head of Compliance, Börsenstrasse 15, P.O. Box, 8022 Zurich, or, in the event of a conflict of interest as mentioned above: Personal/Confidential, Swiss National Bank, Chair of the Audit Committee, Börsenstrasse 15, P.O. Box, 8022 Zurich

More information on data protection may be found on the SNB's website under [Data protection at the Swiss National Bank](#). Please note that data sent via email over the internet are not secure.